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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nikolaos Soukos et al.  
Serial No. : 10/019,837  
Filed : November 9, 2001  
Title : PERMEABILIZING BIOFILMS

Art Unit : Unknown  
Examiner : Unknown

**BOX PCT**

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed before the receipt of a first Office Action on the merits.  
Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

April 12, 2002

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 00786-421002	Application No. 10/019,837
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Nikolaos Soukos et al.	
		Filing Date November 9, 2001	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,030,374	Feb. 29, 2000	McDaniel	604	506	
	AB	6,009,346	Dec. 28, 1999	Ostrow	604	20	
	AC	5,421,816	Jun. 6, 1995	Lipkovker	604	20	
	AD	5,618,275	Apr. 8, 1997	Bock	604	290	
	AE	5,722,397	Mar. 3, 1998	Eppstein	128	633	
	AF	5,885,211	Mar. 23, 1999	Eppstein et al.	600	309	
	AG	6,041,252	Mar. 21, 2000	Walker et al.	604	20	
	AH						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AI							
	AJ							
	AK							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AL	Costerton et al., "Mechanism of Electrical...", Antimicrobial Agents and Chemotherapy, 38(12):p. 2803-2809, Dec. 1994
	AM	Henry et al., "Phototoxicity of argon laser...", Journal of Photochemistry and Photobiology B: Biology, 34:123-128, 1996
	AN	Sadoudi et al., "Elimination of adhering...", Letters in Applied Microbiology, 24:177-179, 1997
	AO	Soukos et al., "Photomechanical Drug Delivery...", Pharmaceutical Research, 17(4):405-409, 2000
	AP	Sved et al., "The bactericidal effects...", J. Clin. Periodontol., 24:432-439, 1997
	AQ	Wellman et al., "Bacterial Biofilms...", Antimicrobial Agents and Chemotherapy, 40(9):2021-2014, Sept. 1996
	AR	Wilson et al., "Killing of Streptococcus...", Journal of Antimicrobial Chemotherapy, 37:377-381, 1996

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	